

FINSIA BOARD 2021

Candidates for *election*

Nominations for the Finsia Board elections closed on Friday 5 March 2021. In accordance with FINSIA's constitution, **two (2) directors will retire** at the end of the 2021 Annual General Meeting. **In total, three (3) nominations were received for the two (2) vacant positions on the Board.**

Election *process*

Therefore in the election process there are **three (3) candidates for two (2) vacant positions.**

An on-line ballot will be conducted by Vero Voting, acting as the Returning Officer.

Voting is due to open on Thursday, 6 May 2021 and will close on Thursday, 20 May 2021.

In accordance with FINSIA's By-Laws, the candidates are listed in alphabetical order:

- Mr. Leon Grandy SF FIN
- Ms. Carolyn Kidd F FIN
- Mr. Andrew McNeil SF FIN

The candidates elected will be announced by the Chair at the upcoming Annual General Meeting to be held via Zoom meeting on 27 May 2021 and the persons elected will take office from the conclusion of that Annual General Meeting. A brief biography and candidate statement for each of these candidates is set out below.

Nominee statements

Leon Grandy SF FIN

Born in England; raised in Canberra and cultured in NZ. I've attended ANU; RMC Duntroon, and Macquarie, graduating from VUW (twice), Massey University, NZ OCS, AICD, and Chartered Bankers Institute. I've learnt from failure and success.

A banker by training, I've been involved in Governance; Investment Banking, and asset management as well being a fellow of FINSIA and its antecedents for two decades chairing and serving on NZ Council; mentoring young bankers, and training thousands of people in Treasury/Finance and business acumen, in 12 countries.

A professional director, I chair two large companies, and a Retirement Village, I am a director of a Toronto services company in 18 countries; and two NZ based services companies, one with an Australian subsidiary.

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I understand that Boards set the tone and work with executives by asking better questions. I have a growth focus, helping a provincial Truck dealership to become NZ's biggest truck seller, importer, and distributor.

A vote for me is a vote for a team player that asks different questions; someone who wants growth, and values success. Let me help build FINSIA into a better membership organisation, based on revenues from training, living professional standards, and engaging members across Australia and NZ.

Carolyn Kidd F FIN

As Westpac New Zealand's (WNZL) Chief Risk Officer (CRO), Carolyn leads a team of more than 300 responsible for helping to define, set and manage the risk profile of the bank.

Her teams cover Risk Strategy and Transformation, Enterprise Risk, Credit Risk, Credit Restructuring, Operational Risk, Risk Assurance, Compliance, Conduct, Financial Crime, Fraud, Protective Services, Financial Markets and Treasury Risk, Risk Insights, and Risk Governance. Together, their core focus is providing expert advice and challenge to support more informed decision making, and ensure the bank has sustainable frameworks and controls to ensure its customers and communities are safe.

Carolyn has more than 20 years' experience as an executive-level leader in the Financial Services sector, primarily in CRO roles in New Zealand and Australia. After spending the early part of her career at Westpac in Credit Risk, she moved to the Commonwealth Bank of Australia Group, where she held senior risk leadership roles for banking and insurance companies across the Group. She returned to Westpac New Zealand in 2016 as CRO.

Carolyn is passionate about growing and sustaining a strong culture in which all employees understand the importance of risk management to delivering responsible growth and providing the best outcomes for our customers and communities. As an advocate of building diverse teams, Carolyn plays an active role in the ongoing development of WNZL's Risk Graduate programme and community initiatives focused on creating opportunities for greater financial inclusion across New Zealand.

She holds a BA from the University of Auckland and a Diploma of Banking from Massey University.

Andrew McNeil SF FIN

A FINSIA member since 2002, Andrew was a former student and practitioner at SIA Applied Finance courses. Andrew has mentored around 15 FINSIA mentor programs between 2008 and now. A regular presenter at various FINSIA events, Andrew was a finalist in the FINSIA Pinnacle Awards (most outstanding future leader category).

Andrew has had 30 years financial services experience across banking, corporate finance, private equity, real estate investment management and governance. His professional experience includes a US posting in institutional investment management. His corporate governance experience includes non-executive director and chair roles in the public & private sector.

Andrew has a wide experience in securities markets, risk management, regulatory compliance, education delivery and corporate strategy. Andrew has also had experience across fintech, investor marketing & communications, social media, and VC/start-ups.

Founding the mid-market corporate advisory firm Yarmouth in 2008, Andrew's client engagements have involved structuring financial products, investment management, capital raising, dealing with material stakeholders including ASX/ASIC, identifying compliance/operational issues, and rectifying them.

Andrew is an experienced ACNC/NFP volunteer director including Australia's leading SIDS-charity River's Gift (2015-20) and his local surf lifesaving Bancoora SLSC. Foundation corporate partner and volunteer with AFL club, GWS Giants.

Andrew holds graduate qualifications in Business, Finance and Governance. Chartered Governance Professional and Fellow, Governance Institute and is an AICD Diploma holder.