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Notice of Annual General Meeting (as adjourned on Thursday 27 May 2021)

Notice is given that the Annual General Meeting of the members of the Financial Services Institute of Australasia ACN 066 027 389 (**Institute**), as adjourned by the Chair on Thursday 27 May 2021, will continue to be held:

on **17 June 2021** at **4:30pm AEST**

via **Zoom Webinar**

Please register in advance to access the webinar at:

https://us02web.zoom.us/webinar/register/WN_e8tRVu0oRuaA13LHxSNwTQ

Webinar passcode: **542535**

After registering, you will receive a confirmation email containing information about joining the Annual General Meeting.

Ordinary Business of the Adjourned Annual General Meeting:

1. To announce the results of Board Elections.
2. To consider and, if thought fit, to pass, with or without amendment, the following as an ordinary resolution:

“That, in accordance with section 327B of the Corporations Act, and for all other purposes, Pitcher Partners Sydney ABN 17 795 780 962, having been nominated by a member, and consented in writing to act as auditor of the Company, be re-appointed as auditor of the Company to fill the vacancy created by the operation of section 327C(2) of the Corporations Act, with effect from the close of this Annual General Meeting.”

Please read the explanatory memorandum on page 3 of this Notice.

Dated at Sydney 28 May 2021

By Order of the Board

Nyla Bacon

Company Secretary



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Proxies

A member who is entitled to attend and vote at a meeting of the Institute, being a Life Member, Senior Fellow, Fellow, Senior Associate or Associate, is entitled to appoint a proxy, who does not have to be a member of FINSIA, to attend and vote on his or her behalf.

This proxy form may be emailed to the Company Secretary at companysecretary@finsia.com.

The closing date for receipt of proxies is **4.30pm [AEST] on Tuesday 15 June 2021**. A proxy form is available on the Institute's website www.finsia.com and a copy is attached for members' use.

Explanatory Memorandum

Item 1:

As announced at the Institute's Annual General Meeting on Thursday 27 May 2021, the Institute inadvertently provided incorrect instructions that were not in line with the Institute's By-Laws to its voting platform provider, requiring you (our member) to select two candidates for the two vacancies to be able to place your vote in the 2021 Elections, rather than allowing you to instead vote for only one candidate, or none, if you so choose.

After consideration it was determined by the Board that in fairness to members and all nominated candidates the proper course of action is to re-run the voting process for the Institute election. The ballot re-opened today, 28 May 2021, and members will have received an email from Vero Voting for this purpose.

Accordingly, the Institute now continues this item at this continued Annual General Meeting and wishes to announce the results of the election as re-conducted in accordance with the Institute's By-Laws and Constitution.

Item 2:

In July 2020 the Company received notice from the Company's then auditor, Deloitte, that it sought to resign as auditor of the Company effective from the time of ASIC's consent to Deloitte's retirement. In October 2020:

- ASIC consented to Deloitte's retirement as the Company's auditor under section 329(5) of the *Corporations Act 2001* (Cth) (**Corporations Act**); and
- as required by section 327C(1) of the *Corporations Act*, the directors appointed Pitcher Partners Sydney ABN 17 795 780 962 as auditor of the Company to fill that casual vacancy, having received a consent to act as auditor from the firm. By virtue of s 327C(2) of the *Corporations Act*, that appointment lasts until this AGM.

Christopher Whitehead FFIN, as a member of the Company, has nominated Pitcher Partners Sydney ABN 17 795 780 962 to act as the auditor of the Company for the purposes of section 328B of the *Corporations Act*. A copy of that nomination is attached to this notice.

If this resolution is passed, the re-appointment of Pitcher Partners Sydney ABN 17 795 780 962 as the Company's auditor will take effect at the close of the adjourned Annual General Meeting, on 17 June 2021.

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