

**FINSIA**, the leading financial services professional body partners with CISI to bring you internationally recognised qualifications for professionals.

**The Chartered Institute for Securities & Investment** is the leading professional body headquartered in the UK for securities, investment, wealth and financial planning professionals. It has a global community of circa 45,000 members in 111 countries.

## STUDY RISK IN FINANCIAL SERVICES

### WHAT IS RISK IN FINANCIAL SERVICES?

**Risk in Financial Services** is a global introduction to risk. It addresses international issues, reflecting the needs of a worldwide market. It also provides an overview of the principles of the risk management framework, corporate governance, risk oversight and covers specific techniques used in identifying, reducing and managing the various types of risk.

### IS THIS COURSE RIGHT FOR ME?

The Risk in Financial Services qualification is suitable for risk and compliance teams, branch management, corporate lawyers, finance officers, senior managers of all disciplines and existing and aspiring non-executive directors.

This program will provide an overview of risk in financial services for those new to risk and compliance or looking to move into this discipline.

### KEY FEATURES:

- ✓ **The big picture** - Become a contributor to your company's risk management policies, framework, assessment and measurement and the risk regulatory environment.
- ✓ **Globally portable** - Addresses international issues, reflecting the needs of a worldwide market
- ✓ **Skills, tools & strategies** - Develop your skills in risk management, allowing you to contribute to your employer's risk management framework.
- ✓ **Be recognised as an industry specialist** - Develop your understanding of risk management in the financial services environment.
- ✓ **Further professional qualifications** - To further expand your knowledge upon completion of this course, you are eligible to take professional qualifications such as: the Investment Operations Certificate (IOC).
- ✓ **Self-paced Learning** - You can start anytime and finish at your own pace. Average of 6 months to complete, up to a year.

- ✓ **Flexible delivery** - Candidates follow a self-study pathway for the exams using both CISI and FINSIA learning manuals and eBooks.
- ✓ **FINSIA membership** - For new members, a 12-month Student Membership is included in the enrolment fee.
- ✓ **Provides access to GFin post-nominals.**

### ASSESSMENT

There is a two-hour exam (2hr), consisting of 100 multiple-choice questions. The exams are delivered by computer-based testing (CBT). A worldwide network of test centres is available, ensuring flexible scheduling, instant results and ease of completing the exam on screen.

Last year more than 40,000 CISI exams were sat in over 100 countries. All exams are created for practitioners by practitioners.

### WHAT IS COVERED IN RISK IN FINANCIAL SERVICES

- |   |   |
|---|---|
| <u>1.</u> Principles of Risk Management | <u>6.</u> Investment Risk                         |
| <u>2.</u> International Risk Regulation | <u>7.</u> Liquidity Risk                          |
| <u>3.</u> Operational Risk              | <u>8.</u> Model Risk                              |
| <u>4.</u> Credit Risk                   | <u>9.</u> Risk Oversight and Corporate Governance |
| <u>5.</u> Market Risk                   | <u>10.</u> Enterprise Risk Management (ERM)       |

To find out more speak to our education team on 1300 346 742 or email us at [education@finsia.com](mailto:education@finsia.com)



## FURTHER QUALIFICATIONS WITH FINSIA

RISK IN FINANCIAL  
SERVICES



THE AUSTRALIAN  
REGULATORY AND  
LEGAL ENVIRONMENT



INTERNATIONAL  
INTRODUCTION TO  
SECURITIES & INVESTMENT  
(IISI) (OR EQUIVALENT)



INVESTMENT OPERATIONS  
CERTIFICATE (IOC)

## FINSIA SUPPORTING YOUR CAREER PROGRESSION & DEVELOPMENT

### Recognition

Showcase your professional acumen and set yourself apart from other practitioners in today's competitive market with FINSIA's post nominal letters.

### Belonging

Connect with thousands of leading and like-minded professionals.

### Ethics

Ensure you are held accountable by adhering to a shared code of conduct.

### Continuing Professional Development

Maintain professional skills and technical knowledge with a range of events, access to trusted information sources, research papers, the FINSIA member portal and partnership publications.

### Professional Qualifications

Accelerate your career and demonstrate that you have reached a standard of industry competence by completing our internationally recognised qualifications.

